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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: OTERO et al.

Serial No.: 09/773,139

Group No.: 2164

Filed: January 31, 2001

Examiner: Not yet assigned

For: APPARATUS, METHODS AND ARTICLES OF MANUFACTURE FOR CONSTRUCTING  
AND EXECUTING COMPUTERIZED TRANSACTION PROCESSES AND PROGRAMS

I, Joseph E. Chovanes, Registration No. 33,481, certify that this correspondence is being  
deposited with the U.S. Postal Service as first class mail in an envelope addressed to the  
Assistant Commissioner for Patents, Washington, DC 20231 on May 23, 2001

\_\_\_\_\_  
BOX MISSING PART  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION (FILING DATE GRANTED) and  
REQUEST FOR EXTENSION OF TIME**

In reply to the "Notice to File Missing Parts of Application-Filing Date Granted"  
mailed March 16, 2001 to which a response is due June 16, 2001, enclosed are the following:

- Copy of the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION--  
FILING DATE GRANTED (37 CFR 153(b)).
- DECLARATION FOR UTILITY APPLICATION USING AN APPLICATION DATA SHEET  
executed by the inventor(s).
- POWER OF ATTORNEY/AUTHORIZATION OF AGENT executed by the inventor(s).



Request is hereby made to extend the time for response to the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION--FILING DATE GRANTED dated March 16, 2001 to and through June 16, 2001 in the above-identified application.

	Other Than Small Entity	Small Entity
One Month	<u>  \$  55</u>	<u>  \$110</u>
Two Months	<u>  \$ 195</u>	<u>  \$390</u>
Three Months	<u>  \$ 445</u>	<u>  \$890</u>
Four Months	<u>  \$ 695</u>	<u>  \$1390</u>

The following fees are enclosed to cover the:

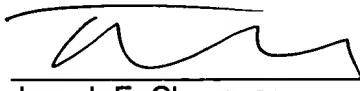
<input checked="" type="checkbox"/> Surcharge	\$130.00
<input checked="" type="checkbox"/> Extension of Time	\$110.00
<input type="checkbox"/> Statutory Basic Filing Fee	\$
<input type="checkbox"/> Additional claim fees including multiple dependent claims	\$

**Total Amount Due:** **\$240.00**

Please charge the surcharge to my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_. This sheet is attached in duplicate.

Please charge any deficiency or credit any overpayment to Deposit Account No. 50-0979.

Respectfully submitted,



Joseph E. Chovanes  
Registration No. 33,481

Date: May 23, 2001

DILWORTH PAXSON LLP  
3200 Mellon Bank Center  
1735 Market Street  
Philadelphia, PA 19103-7595

Please initial plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

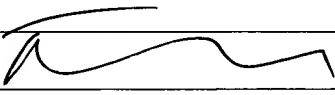
		Application Number	09/773,139
		Filing Date	01/31/2001
		First Named Inventor	OTERO
		Group Art Unit	2164
		Examiner Name	Not Yet Assigned
Total Number of Pages in this Submission	12	Attorney Docket Number	21710-68377

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers/Recordation Cover Sheet (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): RETURN RECEIPT POSTCARD
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)_____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

**CUSTOMER NO. 27730**

Firm or Individual name	Joseph E. Chovanes
Signature	
Date	May 23, 2001

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents, Washington, DC 20231 on this date: May 23, 2001.

Typed or printed name	Joseph E. Chovanes (Registration No. 33,481)		
Signature		Date	May 23, 2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

MAY 29 2001  
PATENT & TRADEMARK OFFICE**TOTAL AMOUNT OF PAYMENT (\$)****METHOD OF PAYMENT**

1. [X] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account **50-0979**

Deposit Account Name

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status  
See 37 CFR 1.272.  **Payment Enclosed** Check  Credit Card  Money Order  Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	
101	710	201	355	Utility filing fee	\$
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
<b>SUBTOTAL (1)</b>				\$	

**2. EXTRA CLAIMS FEES**

		Extra Claims	Fee from below	Fee Paid
Total Claims	- 20**	=	X 18 =	\$
Independent Claims	- 3**	=	X 80 =	\$
Multiple Independent		0 +	270 =	\$

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>				\$	

\*\*or number previously paid, if greater; For Reissue, see above

**SUBMITTED BY CUSTOMER NO. 27730**

Complete if known

Application Number	09/773,139
Filing Date	01/31/2001
First Named Inventor	OTERO
Examiner Name	not yet assigned
Group Art Unit	2164
Attorney Docket No.	21710-68377

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	110
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petition to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**  \$

Name (Print/Type)	Joseph E. Chovanes	Registration No. (Attorney/Agent)	33,481	Telephone	(215) 575-7000
Signature				Date	May 23, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/773,139	01/31/2001	Hernan G. Otero	21710-CIPHER1

**CONFIRMATION NO. 5404**

**FORMALITIES LETTER**

OC000000005871638

27730  
DILWORTH PAXSON LLP  
3200 MELLON BANK CENTER  
1735 MARKET STREET  
PHILADELPHIA, PA 19103

Date Mailed: 03/16/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*Mesaret Kano*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/31/2001 EEKUBAY1 00000031 09773139

01 FC:105

130.00 OP